



DRW

PATENT

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

James Peterson et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR TAX
SENSITIVE PORTFOLIO
OPTIMIZATION

Confirmation No.: 7438

Group Art Unit: 3624

Examiner: Ella Colbert

**SUBMISSION OF REPLACEMENT
FORMAL DRAWINGS**

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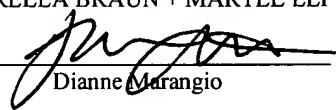
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date noted below.

FARELLA BRAUN + MARTEL LLP

Date: 12/2/05

By:



Dianne Marangio

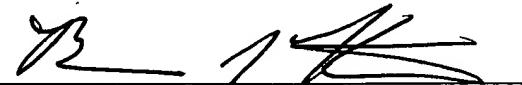
Sir:

In response to the Notice of Allowance and Issue Fee(s) Due mailed October 11, 2005, enclosed are thirteen (13) sheets of replacement formal drawings for filing in the above-referenced application.

Respectfully submitted,

FARELLA BRAUN + MARTEL LLP

By:



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